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PATENT TRADEMARK OFFICE

Attorney Docket 139.1002.02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Nguyen

Serial No. 09/853,937

Filed: 5/9/2001

For: Dynamic Downloading of Hypertext
Electronic Mail Messages

Art Unit: NYA

Examiner: NYA

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Assistant Commissioner for Patents
Washington, D.C. 20231

on 1-29-2002 by _____
Date

Arlene Malhas
Arlene Malhas, Paralegal

INFORMATION DISCLOSURE STATEMENT

Honorable Assistant Commissioner
for Patents
Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is submitted under:

- ☒ 37 CFR 1.97(b)
(Within three months of the national application filing or the entry of international
application, OR before the mailing date of first Office Action, whichever occurs last.)
- ☐ 37 CFR 1.97(c) together with either a:
☐ Statement under 37 CFR 1.97(e)
or ☐ \$180.00 fee set forth in 37 CFR 1.17(p)
(After the CFR 1.97(b) time period, but before final Office Action or Notice of Allowance,
whichever occurs first.)
- ☐ 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e)
and ☐ \$180.00 fee set forth in 37 CFR 1.17(p)
(After the CFR 1.97(c) time period, but before payment of the Issue fee.)

List of Documents

Applicant(s) submits herewith:

- ☒ Form PTO SB/08A (Information Disclosure Statement by Applicant) listing patent document(s) of which applicant(s) believes might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56.
- ☒ Form PTO SB/08B (Information Disclosure Statement by Applicant) listing non-patent document(s) of which applicant(s) believes might be material to the examination of this application and for which there might be a duty to disclose in accordance with 37 CFR 1.56.

Copies of Documents

- ☒ Applicant(s) submits herewith copies of all listed documents.
- ☐ Copies of the listed documents are not included with this filing. Copies of these documents were previously submitted in the following related application:

Docket No.: _____
Serial No.: _____
Filed: _____

THE EXAMINER IS ENCOURAGED AND EXHORTED TO MAKE HIS OR HER OWN INDEPENDENT EVALUATION OF POSSIBLE RELEVANCE OF THE LISTED DOCUMENTS.

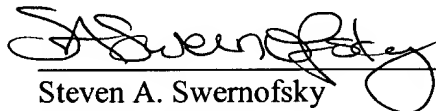
At the present time, Applicant(s) submits that the listed document(s), taken alone or in combination, neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

In the event fees are required, authorization is hereby granted to charge these fees to Deposit Account No. 50-0365.

It is requested that the information disclosed herein be made of record in this application.

Date: 01-29-2002

Respectfully submitted,



Steven A. Swernofsky
Reg. No. 33,040

Swernofsky Law Group
P.O. Box 390013
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(650) 947-0700

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Substitute for form 1449A/PTQ

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

2

PATENT TRADEMARK OFFICE

Complete if Known

Application Number

09/853,937

Filing Date

5/9/2001

First Name of Inventor

Nguyen

Group Art Unit

NYA

Examiner Name

NYA

Attorney Docket Number

139.1002.02

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	5,548,789		Nakamura	08-20-1996	
	2	5,572,643		Judson	11-05-1996	
	3	5,574,843		Gerlach Jr.	11-12-1996	
	4	5,666,542		Katai et al.	09-09-1997	
	5	5,675,507		Bobo, II	10-07-1997	
	6	5,724,574		Stratigos et al.	03-03-1998	
	7	5,737,599		Rowe et al.	04-07-1998	
	8	5,737,619		Judson	04-07-1998	
	9	5,742,768		Gennaro et al.	04-21-1998	
	10	5,754,700		Kuzma	05-19-1998	
	11	5,761,673		Bookman et al.	06-02-1998	
	12	5,764,235		Hunt et al.	06-09-1998	
	13	5,781,785		Rowe et al.	07-14-1998	
	14	5,784,553		Kolawa et al.	07-21-1998	
	15	5,787,470		DeSimone et al.	07-28-1998	
	16	5,794,039		Guck	08-11-1998	
	17	5,802,530		Van Hoff	09-01-1998	
	18	5,805,829		Cohen et al.	09-08-1998	
	19	5,878,223		Becker et al.	03-02-1999	
	20	5,889,942		Orenshteyn	03-30-1999	

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet	2	of	2
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PATENT TRADEMARK OFFICE *Complete if Known*

Application Number	09/853,937
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Filing Dat	5/9/2001
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First Named Inventor	Nguyen
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Group Art Unit	NYA
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Examiner Name	NYA
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Attorney Docket Number	139.1002.02
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Substitute for form 1449A/PTO		PATENT TRADEMARK OFFICE		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number		09/853.937	
		Filing Date		5/9/2001	
		First Named Inventor		Nguyen	
		Group Art Unit		NYA	
		Examiner Name		NYA	
Sheet	1	of	1	Attorney Docket Number	139.1002.02

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	MARC H. BROWN et al. "A New Paradigm for Browsing the Web". Short Papers. March 7-11, 1995. CHI'95 Mosaic of Creativity.	
	2	MARK R. BROWN. "The Most Complete Reference: Special Edition-Using Netscape". QUE. 30402001218504.	
	3	MALCOLM GRAHAM et al. "Webbed Documents". DOC 95-10/95 Savannah, Georgia, USA	
	4	STEPHEN R. DAVIS. "Teach Yourself Java Programming the Quick and Easy Way with Microsoft Visual: Learn Java Now". Microsoft Press. 3 0402 00136 8093.	

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